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Review Article

Neurosurgical management of perineural metastases: A case series and review of the literature

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ABSTRACT

Background: Perineural invasion (PNI) and spread are one of the grimmest prognostic factors associated with primary skin and head-and-neck cancers, yet remain an often confused, and underreported, phenomenon. Adding complexity to reaching a diagnosis and treating perineural spread (PNS) is the finding that patients may have no known primary tumor, history of skin cancer, and/or incidental PNI in the primary tumor. These delays in diagnosis and treatment are further compounded by an already slow disease process and often require multidisciplinary care with combinations of stereotactic radiosurgery, surgical resection, and novel treatments such as checkpoint inhibitors.

Methods: Six patients with metastatic cancer to the cranial nerves who underwent Gamma Knife radiosurgery (GKRS) treatment were chosen for retrospective analysis. This information included age, gender, any past surgeries (both stereotactic and regular surgery), dose of radiation and volume of the tumor treated in the GKRS, date of PNS, comorbidities, the patient follow-up, and pre- and post-GKRS imaging. The goal of the follow-up with radiographing imaging was to assess the efficacy of GKSS.

Results: The clinical course of six patients with PNS is presented. Patients followed variable courses with mixed outcomes: two patients remain living, one was lost to follow-up, and three expired with a median survival of 12 months from date of diagnosis. Patients at our institution are ideally followed for life.

Conclusion: Given the morbidity and mortality of PNS of cancer, time is limited, and further understanding is required to improve outcomes. Here, we provide a case series of patients with PNS treated with stereotactic radiosurgery, discuss their clinical courses, and review the known literature.

Keywords: Head-and-neck cancer, Perineural invasion, Perineural spread, Skin cancer, Skull base surgery

INTRODUCTION

Perineural invasion (PNI) and spread are a unique disease manifestation of multiple solid tumors that remain poorly understood across specialties. While head-and-neck squamous cell carcinomas (HNSCCs) are the most common culprit, perineural disease can occur in other solid tumors, such as skin cancers and sinonasal carcinoma. Approximately 95% of head-and-neck cancer cases are diagnosed as HNSCCs, and with a 5-year survival rate below 50%, locoregional

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failure accounts for the vast majority of deaths. One of the factors implicated in local recurrence of HNSCC is the presence of perineural tumor growth. [19,20] Perineural growth is further correlated with a decreased rate of disease-free survival, decreased quality of life due to symptoms caused by nerve bundle disruption, and an increase in nociception and locoregional recurrence. [6,3,15]

After initial PNI of a primary tumor into adjacent peripheral nerves, tumor cells spread contiguously within the perineural space into cranial nerves. When invasion is extensive enough to cause clinical or radiologic deficits in the involved nerve, it is often referred to as "clinical" PNI or perineural spread (PNS). In comparison, PNI that is only detected on tumor histology in asymptomatic patients is known as "incidental PNI" and is associated with better outcomes. [25] Although the majority of primary HNSCCs do not develop PNS, little is known about the features of the primary tumors that lead to PNS. A wide variety of neurotrophic growth factors and matrix metalloproteinases have been implicated in PNS, but a unifying pathophysiologic cause is yet to be elucidated. [7,19,22]

Unlike lymphatic and hematogenous metastasis of cancer cells to lymph nodes and solid organs, many patients and clinicians are unfamiliar with this form of cancer spread. It is often confused with other benign causes of cranial nerve dysfunction, such as Bell's palsy or trigeminal neuralgia (TGN). This contributes to delays in diagnosis, which are further compounded by a slowly progressive disease process. Adding complexity to reaching a diagnosis of PNS is the finding that patients with PNS can have no known primary tumor, history of skin cancer, and/or incidental PNI in the primary tumor. [25] Thus, PNS represents an advanced form of tumor spread with poor outcomes that are frequently misunderstood.

METHODS

Six patients with metastatic head-and-neck carcinoma to the cranial nerves that underwent Gamma Knife radiosurgery (GKRS) treatment were chosen for retrospective analysis. The criteria needed to be met by a patient for inclusion were broad given the low incidence and included patients with primary head-and-neck cancer with metastases to the adjacent cranial nerves that had undergone prior GKRS at the University of Arkansas for Medical Sciences (UAMS). For inclusion, patients needed complete records of GKRS, pre- and post-GKRS imaging, survival estimated at >3 months, and pathologic diagnosis confirming primary head-and-neck metastasis. Patients excluded were those without complete records, such as GKRS radiation dose/treatment volume, pathology showing a primary central nervous system (CNS) tumor, presence of leptomeningeal spread at diagnosis, or change to comfort care before completion of treatment. The purpose of GKRS was to ablate the tumor to treat the pain

and other associated symptoms of the tumor compressing the nerves and stop further metastases.

On acquiring permission from the Ethical Review Board of UAMS, information on patients meeting the above criteria were obtained from our patient medical record system, primarily EPIC (Epic Systems Corporation, Verona, Wisconsin), to perform a retrospective analysis. This information included age, gender, any past surgeries (both stereotactic and regular surgery), radiation doses and volumes of the tumor treated with GKRS date of PNS, comorbidities, the patient follow-up, and any radiographic imaging. The goal of the follow-up with radiographing imaging was to assess the efficacy of GKRS in treating perineural metastases and was performed at a goal of minimum 3 months post-GKRS.

RESULTS

The clinical course of six patients with PNS is presented and followed variable courses with mixed outcomes, detailed characteristics and treatment timeline/dates are provided in [Table 1].

- Patient 1, a 41-year-old male, was diagnosed with primary HNSCC originating from facial skin in 2011 and underwent radical resection and orbitocraniotomy as well as external beam radiotherapy to face and skull. Disease showed evidence of recurrence 2 years later for which the patient underwent GKRS to the right cavernous sinus (6/2014). A rhizotomy was performed 1 year after GKRS for refractory TGN, however, disease shortly worsened and palliative chemotherapy was attempted in late 2015. The patient passed from cardiac arrest in early 2016.
- Patient 2, a 40-year-old male with primary HNSCC of larynx and nasopharynx, was previously treated with total laryngectomy and radical neck dissection after primary diagnosis in 1995; however, records from 1995 are limited. Disease recurred in 2009 and was treated with a L. radical neck dissection at that time and chemotherapy. One year later, the patient presented after a generalized tonic-clonic seizure and was discovered to have a mass occupying the L. cavernous sinus and temporal lobe, the mass was surgically resected (9/2010) and postoperative GKRS was used to target PNS in the cavernous sinus. GKRS was repeated again in 5/2011, and post-GKRS imaging showed evidence of halted tumor growth, however, the patient was lost to follow up, but remains living.
- Patient 3, a 41-year-old female, presented in 4/2017 with primary head-and-neck cancer, later confirmed to be SMARCB1-deficient sinonasal carcinoma of the maxillary sinus which followed a course of rapid progression. She shortly underwent R. partial

Characteristics	Patient 1	Patient 2	Patient 3	Patient 4	Patient 5	Patient 6
Age at initial	41	40	40	35	73	62
eatment (years)	11	10	10	33	, 3	02
Gender	M	M	F	M	M	M
rimary site/	Squamous cell	HNSCC larynx	Maxillary sinus	BCC with	SCC of skin	Left tempo
istology	carcinoma of skin	and nasopharynx,	SMARCB1-	maxillary and	with extension	SCC of skin
	of face	left cavernous	deficient	mandibular	into right facial	
		sinus	sinonasal	branch of V	structures	
			undifferentiated	involvement	including	
			carcinoma	(Gorlin	parotid gland	
				syndrome)	I	
Date primary	8/2011	6/2009	4/2017	11/2017	8/2015	CLL (2005)
liagnosis						SCC (2014)
Date treated with	6/2014	9/2010, 5/2011	8/2017	1/2018, 3/2018	2/2016	7/2018
GKRS						
nterventions	Orbitocraniotomy	Total	Right partial	Permanent	Parotidectomy,	Craniotom
preceding GKRS	for resection of	laryngectomy	medial	tarsorrhaphy due	Mohs resection	w/ caverno
	tumor w/ EBRT	1995	maxillectomy	to corneal ulcer	of right temple	sinus decor
	face/Skull (2012,	Nasopharyngeal		complications	cutaneous SCC	and ICA
	60 Gy in 30	Ca, L. radical neck		1/2/18		sacrifice
	sessions, LINAC)	dissection 2009		_		(5/2018)
erineural spread	Right CNV1/2	L. CN V into	Extension into R.	CN V2/3 – Right	Metastases	L. CNV int
route		cavernous sinus	cavernous sinus	cavernous sinus,	along CN V	cavernous
			and prepontine	L. CN V1-3	into R orbit and	sinus
	n 1		cistern		cavernous sinus	
reatment for PNS:	Both	GKRS×2	GKRS	GKRS x2	GKRS	Both
urgery, GKRS, or						
ooth	D	T	D	D	D	T
GKRS lesion	R. cavernous	L. cavernous sinus	R. cavernous	R. cavernous	R. cavernous	L. cavernou
ocation target	sinus		sinus	sinus/ CN V2/3,	sinus	Sinus
GKRS Lowest	15	16	18	L CN V1-3 18/18	15	16
nargin dose (gy)	13	10	10	10/10	13	10
GKRS lowest margin	50	50	50	50/50	50	50
sodose line (%)	30	30	30	30/30	30	30
Volume treated (cm ³)	3.58	5.67	4.73	4.3	6.27	4.5
Radiation after GK?	No	No	SBRT (C-spine)	No	No	No
Extracranial disease	S/P resection and	Invasion in			Infratemporal	Primary SC
at GKRS	EBRT face/R.	temporalis,			fossa and	resected 20
	skull base	medial and lateral			parotid	
		pterygoid mm.				
Posttreatment	-Refractory V1/	-Completed	-Palliative chemo	-Spread to left	-Interval	Living,
course	V2 TGN	postoperative	Metastases to	trigeminal nerve	improvement of	refractory
	-Rhizotomy	chemo	cervical/thoracic	in Meckel's cave	perineurial CN	TGN
	trigeminal nerve	-Lost to follow	spine, and cauda	extending to the	V spread	
	10/2015, disease	up, no known	equina treated	foramen ovale	-Great petrosal	
	worsened	recurrence	with incomplete	-Follow up	nerve and	
	-palliative		course cervical	MRI with good	geniculate	
	chemotherapy		XRT.	response to	ganglion spread	
			-Unable to further	GKRS	with osseous	
			tolerate XRT or	-Moved states	involvement	
			chemo, made		- Palliative	
			comfort care		chemo	
urvival (months	55	105+	12	19+	11	53
rom diagnosis)						
	Cardiac arrest	Living	DNR/I,	Lost to follow up	DNR/I,	Expired in
lause of death	Caraiac arrest	Living	D111(1)	note to rome in up	,	1
Cause of death	Caralac arrest	Living	succumbed to	zoor to rono w up	succumbed to	hospice o

maxillectomy followed by GKRS in 8/2017 for PNS to the cavernous sinus and prepontine cistern. Palliative chemotherapy and SBRT to the cervical spine were performed for spinal metastases, however, the patient succumbed to disease and passed in 5/2018, 1 year after primary disease diagnosis.[10]

- Patient 4, a 35-year-old male with known Gorlin syndrome and presented with basal cell carcinoma (BCC) with PNS of maxillary/mandibular branch of CN V in 11/2017. After undergoing two treatments of GKRS (1/2018 and 3/2018) to perineural lesions in both the right and left cavernous sinus, Magnetic resonance imaging (MRI) results showed tumor regression, however, the patient was lost to follow up after moving to another state.
- Patient 5, a 73-year-old male, presented with squamous cell carcinoma (SCC) of the skin in 8/2015 with invasion into parotid gland and facial structures with PNS. The patient was treated with parotidectomy followed by GKRS (2/2016) to the R. cavernous sinus and showed interval improvement, however, progression occurred soon after and the patient succumbed to disease 7/2016.
- Patient 6, a 62-year-old male, presented with temporal SCC of skin in 2014 which was treated with local excision. Disease recurred 4 years later with metastasis and PNS and was treated with craniotomy for resection (5/2018) and followed by GKRS (7/2018) to the left cavernous sinus. Patient 6 remained living with refractory TGN and was transitioned to home hospice care where he expired in December 2018.

DISCUSSION

Diagnosis

Our series align with previous studies and show the difficult diagnosis and treatment paradigm that is associated with perineural tumor spread and confirms its high morbidity. [6,15,19] PNS is likely underdiagnosed due to both the unfamiliarity with its pathophysiology and the broad presentation and sometimes unknown primarily lesion. One series of 50 patients treated with surgery demonstrated that over 10% of patients with PNS did not have a known primary index lesion, and, in those with a known primary, over onethird (36%) did not have PNI demonstrated in the primary. [24]

Two-thirds of our patients [Table 1] had squamous cell carcinoma primaries, while patients 3 and 4 presented with sinonasal undifferentiated carcinoma and BCC, respectively. This aligns with previous data that HNSCC is the most common known primary.[1] The previous series in the literature are from regions with a high incidence of skin cancer (Queensland, Texas, and Florida). [2,16] Queensland, Australia, notability has the highest recorded rate of cutaneous HNSCC in the world, however, the exact proportion of cutaneous HNSCCs that develop PNS is uncertain with incidence rates ranging from 14% to 63.2% in different studies. [19]

Due to the unique nature of PNS, the semiology of the disease depends on both the cranial nerves involved, as well as the anatomic location of involvement. While previously known that the trigeminal (V) (most frequent) and facial (VII) nerves are the most commonly involved cranial nerves, location and/or ganglionic involvement are key in presenting symptoms. [2,25] While CN VIII is less often directly involved, close proximity to CN VII can cause both compressive and GKRS toxicity-related vestibulocochlear symptoms, such as tinnitus. In all patients, we avoided radiation of the cochlea to ensure preservation of hearing. As shown in Table 1, symptoms such as refractory trigeminal neuralgia (patients 1, 6), spinal involvement (patient 3), and ocular complications (patient 4) were present and contrast with the symptoms typical of intracranial metastasis of other cancers. These characteristics, and their morbidity, should be taken into account in the diagnosis and staging process of these tumors. While the origins of the cranial nerves are in close proximity to the brain stem, in our limited series, we did not have any brainstem-related toxicity, likely due to careful GKRS planning and limited survival of our patient cohort.

MRI is considered "Gold Standard" modality for the diagnosis of PNS and determination of the extent of tissue involvement. Routine perineural nerve studies include T2 coronal and axial fat-suppressed images, T1 axial/ coronal precontrast, and T1 axial/coronal fat-suppressed postgadolinium MR images.[8] MRI is better to be targeted to the pathways of the trigeminal and facial nerves in defining the presence and anatomic extent of PNS, but MRI may underestimate microscopic spread proximal to the Gasserian ganglion.[8] Furthermore, computerized tomography imaging is also needed to evaluate if any concurrent bony involvement is present which may warrant inclusion in GKRS treatment.

For staging and treatment purposes, the disease extent can be classified by a zonal system dictated by the extent of skull base and/or cranial nerve ganglia involvement.[8] Zone 1 is from the innervation target to the skull base foramen, zone 2 is from the skull base foramen to the Gasserian ganglion, and zone 3 is from the Gasserian ganglion to the brainstem. As the cavernous sinus is a hub of cranial nerves, it is one of the most commonly involved locations, further confirmed by our series showing cavernous involvement in all six patients [Table 1]. Within the cavernous sinus, it has been suggested that V2 and CN VI are affected more commonly than are CN V1, III, and IV. In our patients, perineural V2 involvement was the most predominant and often included V1/3, however, other cranial nerve involvement was difficult to differentiate between mass effect and perineural involvement [Table 1].

Extracranial manifestations of disease are further compounded by cranial nerve involvement. Patients with cavernous sinus involvement may present with ophthalmoplegia and masticatory muscle wasting (V3), most prominent at the temporal fossa.[1] Spread into the PPF (pterygopalatine fossa) and masticator space involves V2 and V3, respectively. Posterolateral spread into the parapharyngeal space may result in involvement of the lower CNS - IX, X, XI, and XII. While involvement of lower cranial nerve is less common, symptoms such as dysphagia or dysphonia may occur, however, morbidity associated with GKRS to these nerves is low and neurofunction can often be preserved or salvaged with optimal tumor control.[11,14]

Treatment

Progression of disease and response to treatment in patients is unpredictable. All of our patients were treated with multidisciplinary approaches including otolaryngology, neurological surgery, radiation and medical oncology, ophthalmology, and dermatology. Complex and aggressive invasion of primary disease requiring multispecialty care, as well as varying symptomatic presentation, is likely the reason for the broad treatment approaches used in each of our six patients, as well as similar series. All patients in our series had prior surgical interventions or procedures by specialties before neurosurgical involvement.

Overall, radiotherapy with or without surgery is the current mainstays of treatment. The extent of progression along a named nerve typically determines whether the patient undergoes resection (including the type of resection), radiotherapy (adjuvant or alone), or is untreatable. There is little consensus among skull base surgeons and radiation oncologists as to the appropriate form of the treatment of PNS. Most reported data are restricted in its clinical interpretation through the use of varying treatment approaches, inconsistent grouping of either incidental PNI and clinical PNI cohorts, or different tumor types. [9,12,16] However, due to the aggressive nature and difficulty of resection given the relationship to eloquent structures, GKRS is often the frontline approach and has shown favorable outcomes.[17] Surgical resection is typically reserved for decompressive and symptomatic relief as most tumors are too close to critical neurovascular structures for gross total resection.

In our series, patients 1 and 6 also had surgical adjuvant treatment in addition to radiosurgery. In these cases, the patients continued to have severe refractory pain and underwent decompression of the cavernous sinus to provide symptomatic relief. Entrance into the cavernous sinus during surgery was not conducted as this carries significant risks to critical neurovascular structures.[21]

Surgical interventions aside, all patients in this series received one or more treatments with GKRS and demonstrated some radiologic improvement or slowed progression of disease. While disease progression or recurrence occurred in some cases following treatment, the use of adjuvant GKRS can provide focused treatment for PNS which follows predicable patterns of spread within the cranial nerves [Figure 1]. While all of our patients demonstrated similar patterns of PNS involving the cavernous sinus, patients 2 and 4 responded well to treatment with gamma-knife stereotactic radiosurgery (GKRS) ± adjuvant treatment, at least in terms of local control and even survival (patient 2 remains alive 9 years post-GKRS). While GKRS in patients 1, 3, and 5 overall did not improve outcomes, this is due to multiple factors.

Patient 3 followed a rapid course, likely due to the aggressiveness of SMARCB1-deficient sinonasal carcinoma, which is also discussed in a separate case report.[10] GKRS in this patient was a salvage therapy to begin with, the

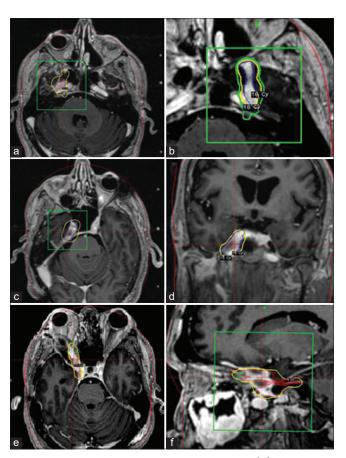


Figure 1: Magnetic resonance images are presented demonstrating location of Gamma-Knife radiation and perineural extension. a and b, patient 4, axial plane imaging demonstrating cranial nerve V spread in R. and L. cavernous sinuses. c and d, patient 5, axial and coronal plane imaging demonstrating spread along cranial nerve V in R. cavernous sinus. e and f, patient 1, axial and sagittal plane imaging demonstrating spread along R. cranial nerve V into orbit. Yellow line denotes the 50% isodose line. The green line represents the 30% isodose line. The green box denotes the target lesion of interest. The red lines denote the isodose plot.

concurrent metastases throughout the cervical, thoracic, and cauda equina along with the cranial spread were too high a tumor burden. Furthermore, chemotherapy and palliative cervical spine SRT were never completed due to side effects and disease state.

Patient 5 did show some interval improvement in PNS after GKRS, however, osseous involvement of the tumor as well as extracranial tumor burden was likely the reason for uncontrolled progression. Extracranial progression evolved to include the infratemporal fossa, pterygoid muscles, parotid space, and parapharyngeal spaces. By this point, palliative chemotherapy was attempted but the patient was soon made comfort care.

Patient 1 presents a more complicated picture from benefits of GKRS (6/2014). MRI 2 months after GKRS did show resolution of some areas of previous enhancement and improvement in other area. MRI at 6 months post-GKRS then showed recurrent enhancing mass of PNS along the ophthalmic division of CN V. However, this patient had inconsistent attendance to appointment visits and missed multiple chemotherapy infusion between the time of recurrence and death.

Special considerations

Of the host of symptomatic manifestations that can accompany perineural disease, refractory trigeminal pain is one of the most morbid. Trigeminal neuralgia (TN), or Tic Douloureux, is infamous for its classic, characteristic excruciating pain - leading to the term "suicide disease" coined as early as the 18th century. In perineural disease, this brings up an interesting paradox from a diagnostic and treatment perspective. Even in early descriptions of PNS, paresthesia followed by pain was a characteristic sign of CN V involvement.[4] While stereotactic radiosurgery is itself a treatment option for nontumor-related trigeminal neuralgia , the dose of radiation as well as the target is different compared to tumor-related TN.[5,13,18]

Typically, a high dose of 70-80 Gy is delivered to the trigeminal root entry zone in treating nontumor TN, while lower doses of 18-22Gy are used for tumor-related TN. A recent series of patients, however, demonstrated that GKRS to both the tumor mass and the root zone provided more durable pain relief.[13] This method may prove to be superior as refractory TN proves to be a problem in patients with perineural metastasis. 2/6 patients in our series had refractory trigeminal pain after gamma-knife treatment [Table 1]. Patient 1 further underwent a rhizotomy for refractory TN after gamma-knife treatment, however, pain did not remain controlled. Similarly, patient 6 had refractory TN, however, disease progression and transfer to hospice care limited further exploration with palliative GKRS for TN.

Whether presenting with isolated TN, or TN secondary to perineural disease, etiology of pathology should be taken into account in decision-making for treatment. In secondary TN, the morbidity of the symptoms alone should be taken into account when determining treatment options. Further study is also warranted in this matter as not all patients with CN V involvement have TN symptoms. The patient with TN symptoms from PNS seems to be refractory to traditional TN treatment, however, combination targeting of both the tumor and trigeminal root zone looks to provide for durable pain relief.[13] Additional studies have confirmed that repeat GKRS for recurrent TN remains a relatively safe intervention with low rates of neurotoxicity.[23]

CONCLUSION

The role of PNI and spread in head-and-neck cancer remains an often confused, and underreported, phenomena. More insight into the unique pathophysiology behind this type of metastatic spread is warranted. Perineural disease remains difficult to treat and requires the expertise of a multidisciplinary team, including neurosurgeons; in this context, a proper assessment should not only evaluate the need, and morbidity, of surgical resection and radiosurgery but also the symptomatic manifestations such as trigeminal neuralgia. Goals of treatment should thus include minimizing treatmentrelated morbidity and toxicity while maintaining patient quality of life. In our series, GKRS proved to be a helpful utility in limiting or reducing tumor burden and also has a role for palliative use in reducing symptoms. Given the morbidity and mortality of head-and-neck cancer with PNS, time is limited, and multiple methods of treatment must be utilized.

To improve outcomes, education of PNS must be increased within specialties to aid in rapid recognition of perineural involvement and early involvement of a multidisciplinary treatment team. Further case series and reports on patients with PNS are essential to provide insight into disease course and pathophysiology. This and other previous series have been limited due to low incidence and PNS not being restricted to any specific cancer pathology. Further work in utilizing novel therapies such as checkpoint inhibitors will also likely aid in management of primary tumor burden and potentially prevent or limit PNI and spread.

Declaration of patient consent

Patient's consent not required as patients identity is not disclosed or compromised.

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Conflicts of interest

There are no conflicts of interest.

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